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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ICANTS:

DeGroot

NUMBER:

FOR:

10/055,524

EXAMINER:

FILING DATE:

October 26, 2001

ART UNIT:

HIV Vaccine Candidate Peptides

PATENT TRADEMARK OFFICE

BOX IDS

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Information Disclosure Statement (2 pages);
- 2. Modified Form 1449/PTO (1 page), in duplicate; and
- 3. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 17999-001 DIV. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

amalus, Reg. No. 45,899 Ivor R. Elrifi, Reg. No. 39,529

Attorney for Applicant

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

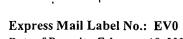
One Financial Center

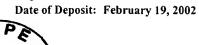
Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Dated: February 19, 2002

TRA 1632154v1





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ANTS:

DeGroot

10/055,524

EXAMINER:

Not Yet Assigned

APR 0 4 2002

TECH CENTER 1600/2900

RECEIVED

FILING DATE:

IAL NUMBER:

October 26, 2001

ART UNIT:

For:

HIV Vaccine Candidate Peptides

4877US

TECH CENTER 1600/2900

BOX IDS

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is believed required.

A copy of each of the references in the above-identified application is enclosed unless otherwise indicated on the attached modified Form PTO-1449. It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.



DeGroot 10/055,524

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 17999-001 DIV.

Respectfully submitted,

Ivor R. Elrifi, Reg

Attorney for Applicant

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Dated: February 19, 2002

TRA 1632140v1

odified Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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|---------------------------|--------------------|------------------|
| Application S rial Number | 10/055,524 | R |
| Filing Date | October 26, 2001 | NTER |
| First Named Inventor | De Groot | 33 |
| Group Art Unit | | 36 |
| Examiner Name | Not Yet Assigned | 0/2 |
| Attorney Docket Number | 17999-001DIV (BURF | - 183 (v) |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-------------|-----------------------------|------------|-------------------------------------|-------|--------------|-------------------------------|
| Exam Initials | Cite No. | U.S. Patent Document No. | Issue Date | Name of Patentee(s) or Applicant(s) | Class | Sub Class | Filing Date If Appropriate |
| | A1* | 5,886,320 | 03/23/99 | Gallo, et al. | | | 09/03/96 |
| | A2* | 5,876,731 | 03/2/99 | Sia, et al. | | | 06/05/95 |
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| FOREIGN PATENT DOCUMENTS | | | | | |
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| Exam Initials | Cite No. | Foreign Patent Document Office Number | Name of Patentee(s) or Applicant(s) | Date of Publication | Translation Yes No |
| | | | | | |

| | | OTHER NON PATENT LITERATURE DOCUMENTS |
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| Exam Initials | Cite No. | Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc. |
| | B1* | Germain & Margulies (1993). "The biochemistry and cell biology of antigen processing and presentation" Ann. Rev. Immunol. 11: 403-450. |
| | B2* | Falk, et al. (1991). "Allele-specific motifs revealed by sequencing of self-peptides eluted from MHC molecules" Nature 351: 290-296. |
| | B3* | Wilson, et al. (1998). "Cross-clade envelope glycoprotein 160-specific CD8+ cytotoxic T lymphocyte responses in early HIV type 1 clade B infection" AIDS Res. Hum. Retroviruses 14: 925-937. |
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| | B12* | Jesdale, et al. (1997). "Matrix-based prediction of MHC-binding peptides: The EpiMatrix algorithm, reagent for HIV research" in Vaccines. (Cold Spring Harbor Press, Cold Spring Harbor, 1997). |
| | B13* | Schafer, et al. (1998). "Prediction of well-conserved HIV-1 ligands using matrix-based algorithm, EpiMatrix" Vaccine 16: 1-5. |

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/351,036, filed July 9, 1999, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

| | | | 1 1 1 1 |
|-----------------------|--|--------------------|---------|
| Examiner Signature | | Date Considered | |
| Signature | | Considered | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.